

Sector

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
48811(342)

In Re Application Of: **Shuji Hinuma, Shoji Fukusumi and Chieko Kitada**

Serial No.
09/207,168

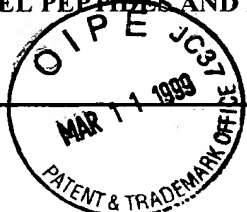
Filing Date
12/7/98

Examiner
Not Assigned

Group Art Unit
1643

Invention:

NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

1/26/99
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Assignment

Recordation Form Cover Sheet

Check: \$1640.00 - Filing Fee with Surcharge and additional dependent claims over 20, Fee for Multiple-Dependent Claims, Surcharge and Assignment Recordal Fee)

Statement by Practitioner That Application Filed in PTO Is the One inventor Executed by Signing Declaration

03/16/1999 HTEKLEMI 00000072 09207168

01 FC:101
02 FC:103
03 FC:104
04 FC:105

760.00 OP
450.00 OP
260.00 OP
130.00 OP

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
48811(342)

In Re Application Of: **Takeda Chemical Corp., et al**

Serial No.
09/207,168

Filing Date
12/7/98

Examiner
Not Assigned

Group Art Unit
1643

Invention:

NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee **\$760.00**

☐ Design application filing fee

☒ Total number of independent claims = 1

☒ Total number of claims = 45 **\$450.00**

☒ Multiple dependent claims **\$260.00**

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$1,600.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees

Total fees due **\$1,600.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
48811(342)

In Re Application Of: **Takeda Chemical Corp., et al**

Serial No.
09/207,168

Filing Date
12/7/98

Examiner
Not Assigned

Group Art Unit
1643

Invention:

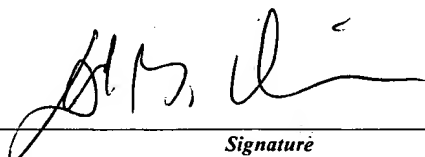
NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,600** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **04-1105**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.



Signature

**David G. Conlin
Reg. 27026
Dike, Bronstein, Roberts & Cushman, LLP
130 Water Street
Boston, MA 02109
617-523-3400**

Dated: **March 8, 1999**

I certify that this document and fee is being deposited
March 8, 1999 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Holly F. Malarney

Typed or Printed Name of Person Mailing Correspondence

CC:



Practitioner's Docket No. 48811(342)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hinuma, Shuji, et al

Serial No.: 09/207,168

Group No.: 1643

Filed: 12/07/1998

Examiner: Not Assigned

For: NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF

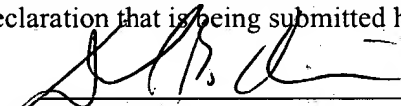
Assistant Commissioner for Patents

Washington, D.C. 20231

STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO
IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION

I, David G. Conlin
Dike, Bronstein, Roberts & Cushman, LLP
130 Water Street
Boston, MA 02109
Reg. No. 27026
Tel. No. (617) 523-3400

state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith.


David G. Conlin

Customer No.: 21874

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being: March 8, 1999

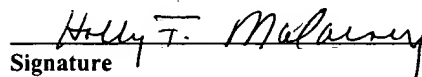
MAILING

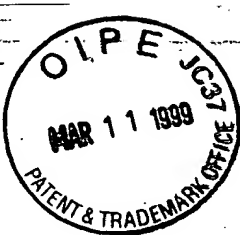
☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 3/8/99


Signature
Holly F. Malarney
(type or print name of person certifying)



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/207,168 | 12/07/98 | HINUMA | S 48811 |

0262/0126
DAVID G. CONLIN
DIKE, BRONSTEIN, ROBERTS & CUSHMAN
130 WATER STREET
BOSTON MA 02109

NOT ASSIGNED

1643

DATE MAILED:

01/26/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1340.00.

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
 - ☐ insufficient.
- Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for _____ independent claims over 3.
- \$ 450.00 for 25 dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Receipt

Docket No. 48811(342)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application of: Shuji Hinuma, et al

Serial No. 09/207,168

Filed: December 7, 1998

For: NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF

Assistant Commissioner For Patents
Washington, D.C. 20231

RECEIVED

APR 06 1999

MATRIX CUSTOMER
SERVICE CENTER

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner For Patents, Application Processing Division's Customer Correction Branch, Washington, D.C. 20231, on March 8, 1999.

Holly F. Malarney
Holly F. Malarney

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request the correction of the Filing Receipt.
Under APPLICANTS: Add **-SHOJI FUKUSUMI-**
Under FOREIGN APPLICATIONS: Please add—PCT/JP97/01911 December 7, 1997
Copies of the original Filing Receipt and Application Transmittal Form are enclosed.

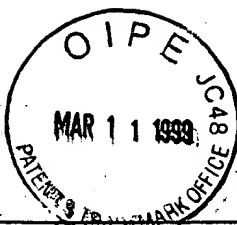
The Commissioner is hereby authorized and requested to charge any fee for correcting the Filing Receipt to Deposit Account No. 04-1105.

Respectfully submitted,

David G. Conlin
David G. Conlin, Esq.

[Reg. No. 27,026]
Dike, Bronstein, Roberts
& Cushman, LLP
130 Water Street
Boston, MA 02109
Tel: (617) 523-3400

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Pat nt and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washingt n, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/207,168 | 12/07/98 | 1643 | \$0.00 48811 | | 11 | 45 | 1 |

DAVID G. CONLIN
DIKE, BRONSTEIN, ROBERTS & CUSHMAN
130 WATER STREET
BOSTON MA 02109

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

SHUJI HINUMA; CHIEKO KITADA.

FOREIGN FILING LICENSE GRANTED 01/04/99

TITLE

NOVEL PEPTIDES AND PRODUCTION AND USE THEREOF

PRELIMINARY CLASS: 530

ZPS
DIKE, BRONSTEIN, ROBERTS & CUSHMAN, LLP
130 Water St. Boston, MA 02108

Date Rec'd *Jan 28, 1999*

Docketed For *Mar 7, 1999*

By *MY*

Approved *HB 2/1/99*

DATA ENTRY BY: JONES, ANN

TEAM: 06 DATE: 01/04/99